

Key Capital Management, Inc.

181 E Main St. Suite #7 Hendersonville, TN 37075
(615) 826-5749 - inquire@woodfinancialgroup.com

PRIVACY POLICY

Investment advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

TYPES OF NONPUBLIC PERSONAL INFORMATION (NPI) WE COLLECT

We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your Social Security Number, Date of Birth, Banking Information, Financial Account Numbers and/ or Balances, Sources of Income, and Credit Card Numbers or Information. When you are no longer our customer, we may continue to share your information only as described in this notice.

PARTIES TO WHOM WE DISCLOSE INFORMATION

All Investment Advisers may need to share personal information to run their everyday business. In the section below, we list the reasons that we may share your personal information:

- ▶ For everyday business purposes - such as to process your transactions, maintaining your account(s), respond to court orders and legal investigations, or report to credit bureaus;
- ▶ For our marketing - to offer our products and services to you;
- ▶ For joint marketing with other financial companies;
- ▶ For our affiliates' everyday business purposes information about your transactions and experiences; or, For non-affiliates to market to you. Clients may opt out of sharing information for joint marketing to other financial companies, to our affiliate and to non-affiliate. If you are a new customer we may begin sharing your information on the day you sign our agreement. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

PROTECTING THE CONFIDENTIALITY OF CURRENT AND FORMER CLIENT'S INFORMATION

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secure files and building.

FEDERAL LAW GIVES YOU THE RIGHT TO LIMIT SHARING - OPTING OUT

Federal law allows you the right to limit the sharing of your NPI by "opting-out" of the following: sharing for non-affiliates' everyday business purposes - information about your creditworthiness; sharing with affiliate who use your information to market to you; or sharing with non-affiliate to market to you. State laws and individual companies may give you additional rights to limit sharing. Please notify us immediately if you choose to opt out of these types of sharing.

DEFINITIONS:

Affiliate - companies related by common ownership or control. They can be financial and non-financial companies; non-affiliate companies not related by common ownership or control. They can be financial and non-financial companies; Joint marketing - a formal agreement between non-affiliated financial companies that together market financial products or services to you.

DATA SECURITY

We implement reasonable administrative, technical, and physical safeguards to protect your personal information from unauthorized access, disclosure, alteration, or misuse. However, no data transmission over the internet or mobile networks can be guaranteed to be completely secure.

Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us. Investment advisory services are offered and provided by Key Capital Management, a registered investment adviser. Wood Financial Group, LLC and Key Capital Management, Inc. are separate affiliated legal entities.

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Privacy Policy – SMS Communications

Information Collection

Wood Financial Group, Inc. collects and stores the phone numbers of individuals who voluntarily opt in to receive external conversational SMS messages from our company. We may also collect related information such as message history, timestamps, and interaction data for communication purposes, service improvement, and compliance requirements.

SMS consent and mobile opt-in information will not be shared, sold, rented, or disclosed to third parties or affiliates for marketing purposes.

No mobile opt-in data will be shared with third parties.

Use Case – External Conversational SMS

These messages are sent as external conversational communications between you and Wood Financial Group, Inc. Messages are typically sent in response to a message or inquiry you initiate and are used for direct communication regarding your interaction with our company.

These communications are strictly conversational and service-related.

Data Security

We implement reasonable administrative, technical, and physical safeguards to protect your personal information from unauthorized access, disclosure, alteration, or misuse. However, no data transmission over the internet or mobile networks can be guaranteed to be completely secure.

Opt-Out Method

You may opt out of receiving SMS messages from Wood Financial Group, Inc. at any time.

To opt out:

Reply STOP to any text message you receive from us.

After sending STOP, you will no longer receive SMS messages from us unless you choose to opt in again.

You may also contact our office directly to request removal from our messaging list.

Help Instructions

If you need assistance with our SMS communications: Reply HELP to any message you receive from us, or contact us directly through our website or office phone number.

Additional Options

Providing consent to receive SMS messages is optional. If you do not wish to receive SMS communications, simply do not check the SMS consent box on our forms.

Message Terms

- Message and data rates will apply.
- Message frequency may vary. SMS frequency may range between 5–1000 messages daily across the organization depending on the conversation.
- You may opt out at any time by texting STOP.
- You may opt back in by texting START.
- For assistance, text HELP or visit our Privacy Policy and SMS Terms and Conditions.

Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us. Investment advisory services are offered and provided by Key Capital Management, a registered investment adviser. Wood Financial Group, LLC and Key Capital Management, Inc. are separate affiliated legal entities.